

September 2021

Notification of Reliance on the International Dealer and International Advisor Exemption

Barrenjoey Markets Pty Limited (**Barrenjoey Markets**, NRD #73250) and Barrenjoey Advisory Pty Limited (**Barrenjoey Advisory**, NRD #73240) each hold an Australian financial services licence, and are authorised to advise on, and deal in, various financial products, including securities and derivatives. Barrenjoey Markets and Barrenjoey Advisory are regulated by the Australian Securities and Investments Commission.

As you are a client domiciled in Canada, when Barrenjoey Markets or Barrenjoey Advisory provides advice to you, or deals with you, it does so in reliance upon Canadian Securities Administrators National Instrument 31-103 – *Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI 31-103)*, as an International Dealer and International Advisor. Pursuant to NI 31-103, this disclosure notifies you of the following:

1. Neither Barrenjoey Markets or Barrenjoey Advisory is registered as a dealer or an adviser in any province or territory of Canada.
2. Barrenjoey Markets' and Barrenjoey Advisory's head office and principal place of business is in Sydney, Australia.
3. All of the assets of Barrenjoey Markets and Barrenjoey Advisory are situated outside of Canada.
4. You may have difficulty enforcing legal rights against Barrenjoey Markets or Barrenjoey Advisory because of the above factors.
5. The below, are Barrenjoey Markets' and Barrenjoey Advisory's agent for service of process for each applicable province or territory in Canada.

Alberta Bryan & Company LLP 2600, 10180-101 Street, Edmonton, Alberta T5J 3Y2	British Columbia Victoria Corporate Records Ltd 4529 Melrose Street, Port Alberni, British Columbia V9Y 1K7
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This and other important disclosures can be found at: <https://barrenjoey.com/clients/disclosures/>